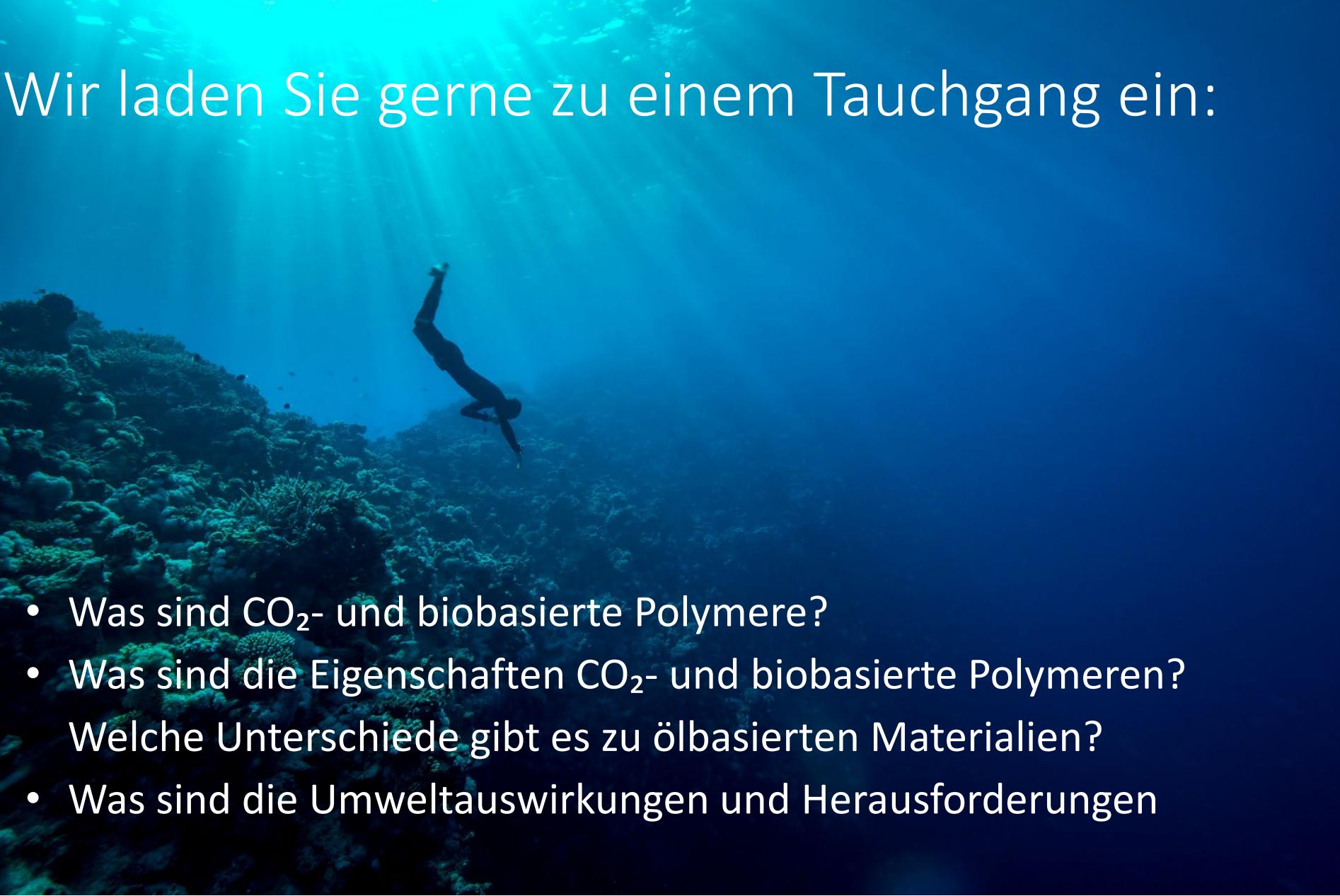


# Wie nachhaltig sind CO<sub>2</sub>- und biobasierte Polymere im Vergleich zu ölbasierten Materialien?

## Deep Dive Session

**Claudia Som**, Empa; **Tim Börner**, Empa / HESSO



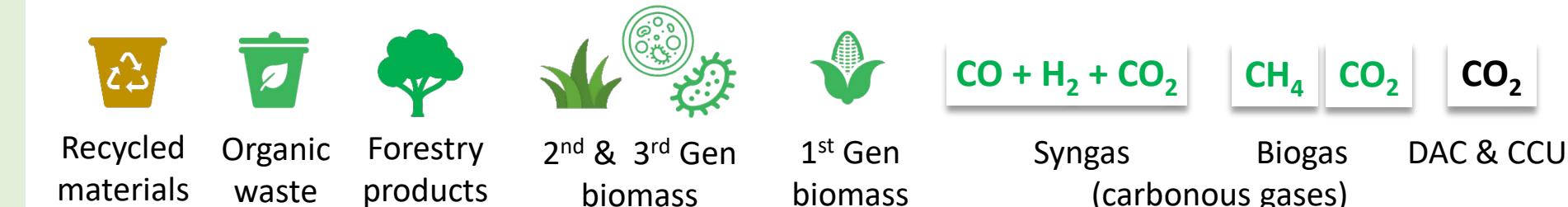
Wir laden Sie gerne zu einem Tauchgang ein:

- Was sind CO<sub>2</sub>- und biobasierte Polymere?
- Was sind die Eigenschaften CO<sub>2</sub>- und biobasierte Polymeren?  
Welche Unterschiede gibt es zu ölbasierten Materialien?
- Was sind die Umweltauswirkungen und Herausforderungen

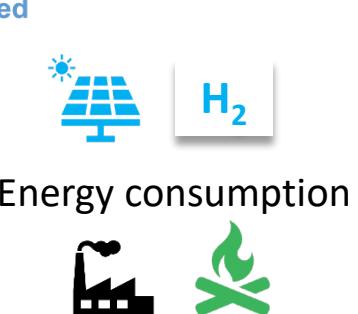
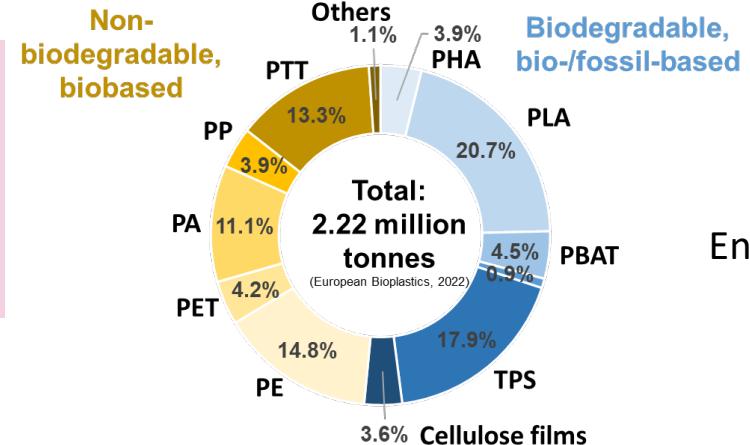
# Übersicht über die Wertschöpfungskette: erneuerbare Polymere

## Renewable carbon sources, production & supply

(upstream - BOL)



## Chemical & polymer production (midstream – BOL)



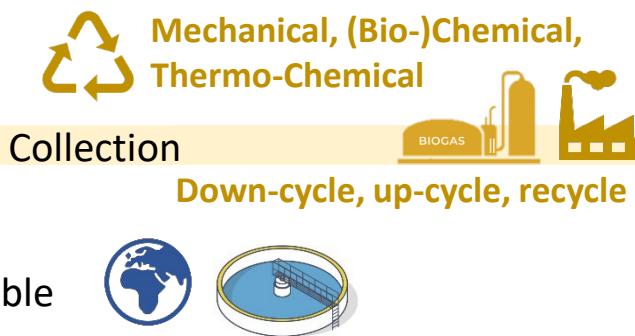
## Product, application & use phase

Quality, performance  
Durability, etc.

reuse

## End-of-life (EOL), recycling & environmental fate

Not collectable



## Assessment criteria:

Environmental footprint, impacts (LCA) & Trade-offs

Availability & TRL

Properties, quality & performance

WMS\* & recyclability

Biodegradability & biocompatibility

Regulations, policies, certification, standards

\*Waste management system

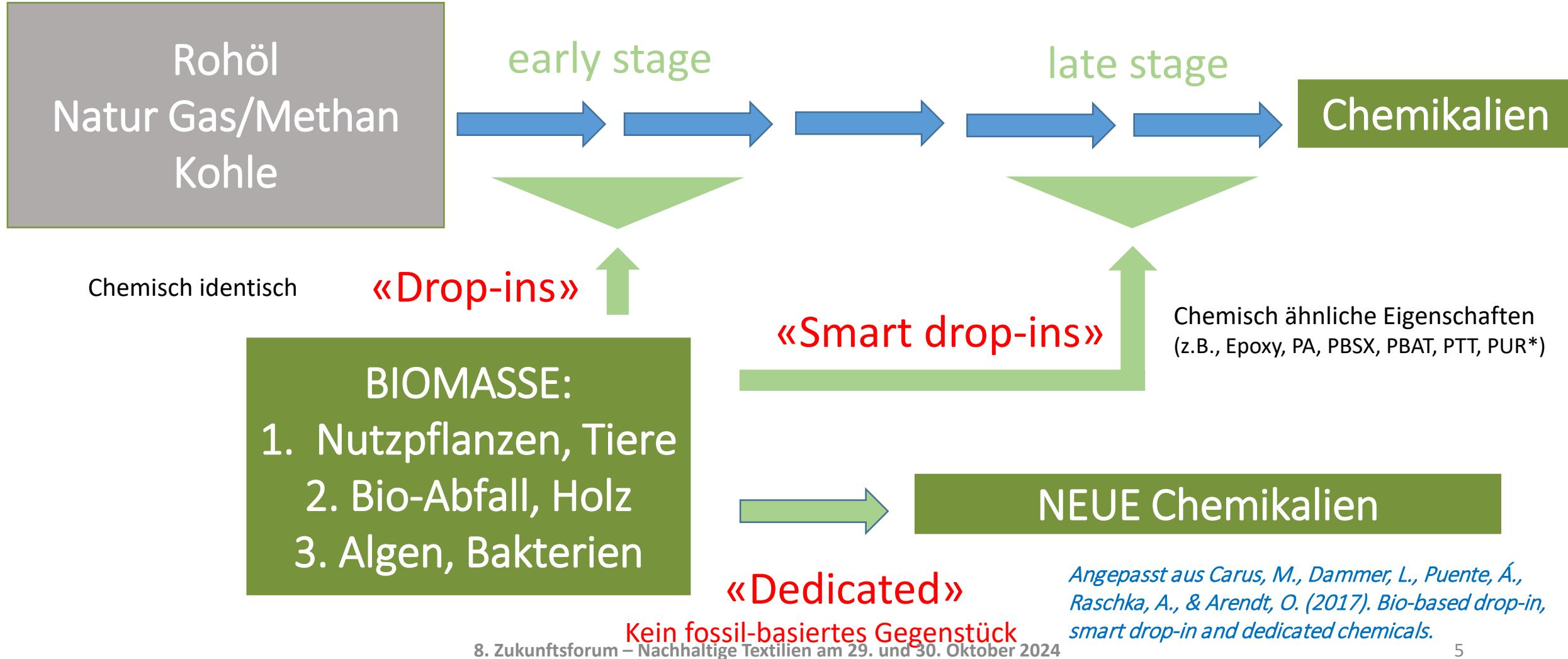
# Wieso ist CO<sub>2</sub>- und biobasierter Plastik so interessant?

## Die Motivation:

- Erneuerbarer Rohstoff
- Bio-abbaubare Plastik-Produkte
- Regionaler Rohstoff
- «Klimafreundlicher» Rohstoff, besserer Kohlenstoff-Fussabdruck
- Neuartige innovative Materialien

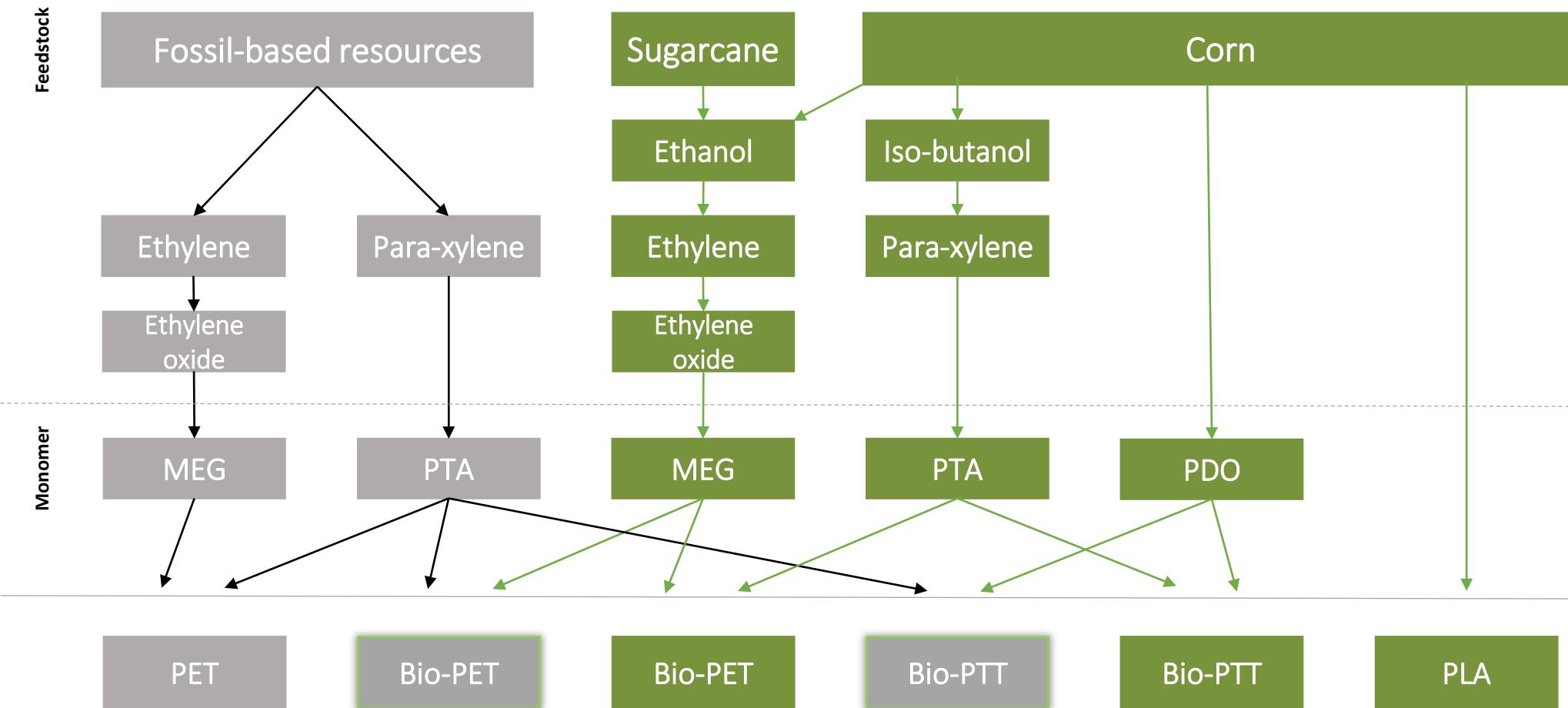
Erfüllen diese Polymere die Erwartungen?

# Erneuerbarer Rohstoff als Ersatz für fossil-basiert - wie geht das?



# Erneuerbarer Rohstoff?

## Bio-basierter Polyester



Article

**Bio-Based Polyester Fiber Substitutes: From GWP to a More Comprehensive Environmental Analysis**

Tijana Ivanović , Roland Hischier  and Claudia Som \*

<https://doi.org/10.3390/app11072993>

# Bio-abbaubar?

Bio-Polyethylen-Terephthalat (bio-PET)  
Bio-Polyamid (bio-PA)  
Bio-Polyethylen (bio PE)

nicht bio-abbaubar

Acrylonitril-Butadien-Styrene (ABS)  
Polyamide (PA)  
Polyethylen (PE)  
Polyethylen Terephthalat (PET)  
Polypropylen (PP)  
Polyvinylchlorid (PVC)

bio-basiert

fossil-basiert

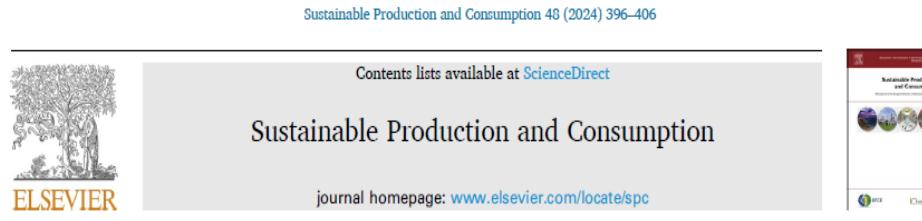
*Abgeändert von Marti, R.,  
Meyer, H. P., & Zinn, M. (2019):  
Factsheet Bioplastics*

Zellophan  
Chitosan  
Seide  
Polyhydroxialkanoate (PHAs)  
Polymilchsäure (PLA)

bio-abbaubar

Polybuten Adipat Terephthalat (PBAT)  
Polybutylen Succinat (PBS)  
Polycaprolakton (PCL)  
Polyvinyl Alkohol (PVA)

# «Klimafreundlich»? Meta-Analyse CO<sub>2</sub>-Fussabdruck für Polymilchsäure



## Review Article

What can we learn about the climate change impacts of polylactic acid from a review and meta-analysis of lifecycle assessment studies?

Barbora Pinlova, Akshat Sudheshwar, Kealie Vogel, Nadia Malinverno, Roland Hischier, Claudia Som\*

<https://doi.org/10.1016/j.spc.2024.05.021>

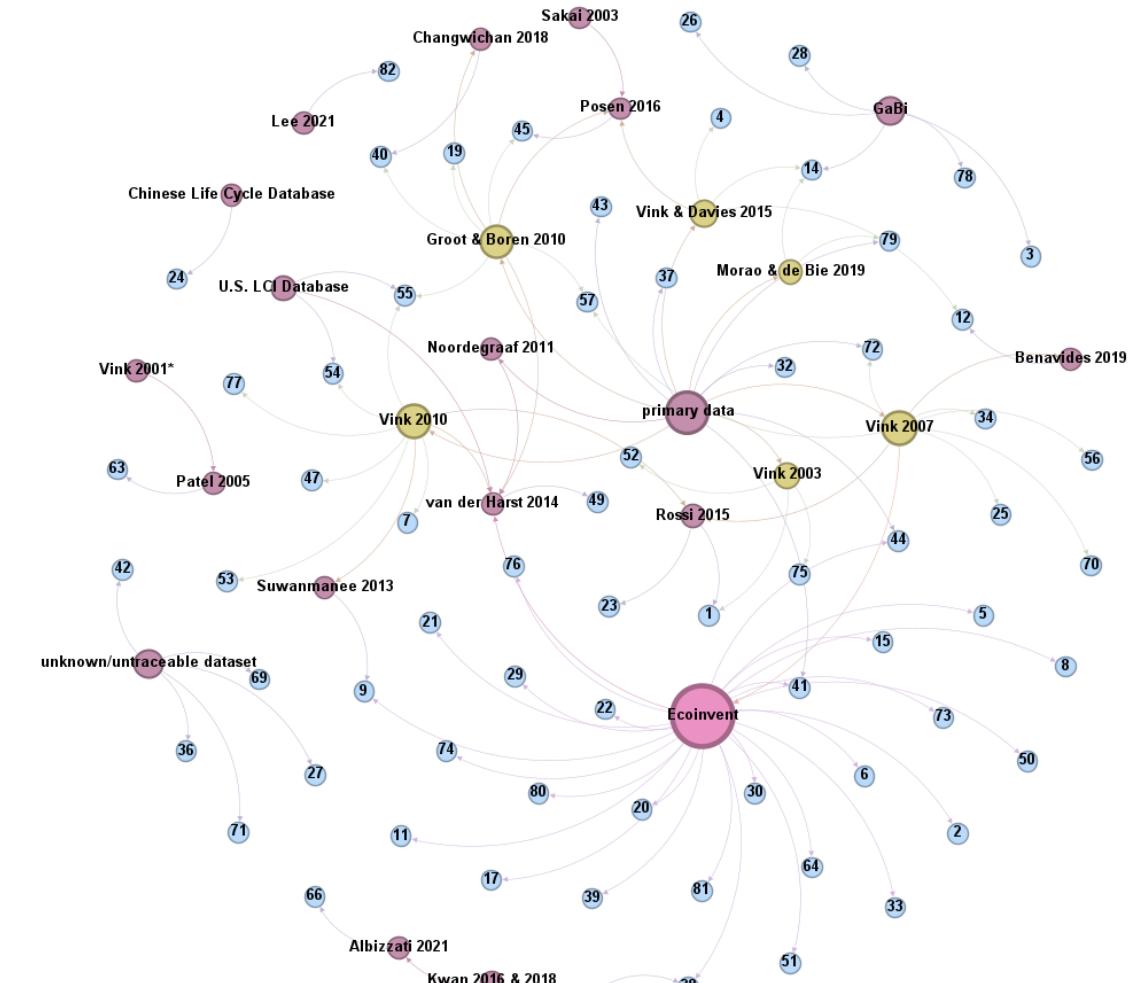
## Vorgehen:

1. Auswertung aller wissenschaftlichen Studien  
LCA/CO<sub>2</sub>-Fussabdruck (Global Warming Potential, GWP)  
von PLA
2. Gruppieren der Literatur in Bereich (Scope):

- Wiege zum Tor (**cradle-to-gate**)
- Wiege zu Bahre (**cradle-to-grave**)

## Daten-Analyse:

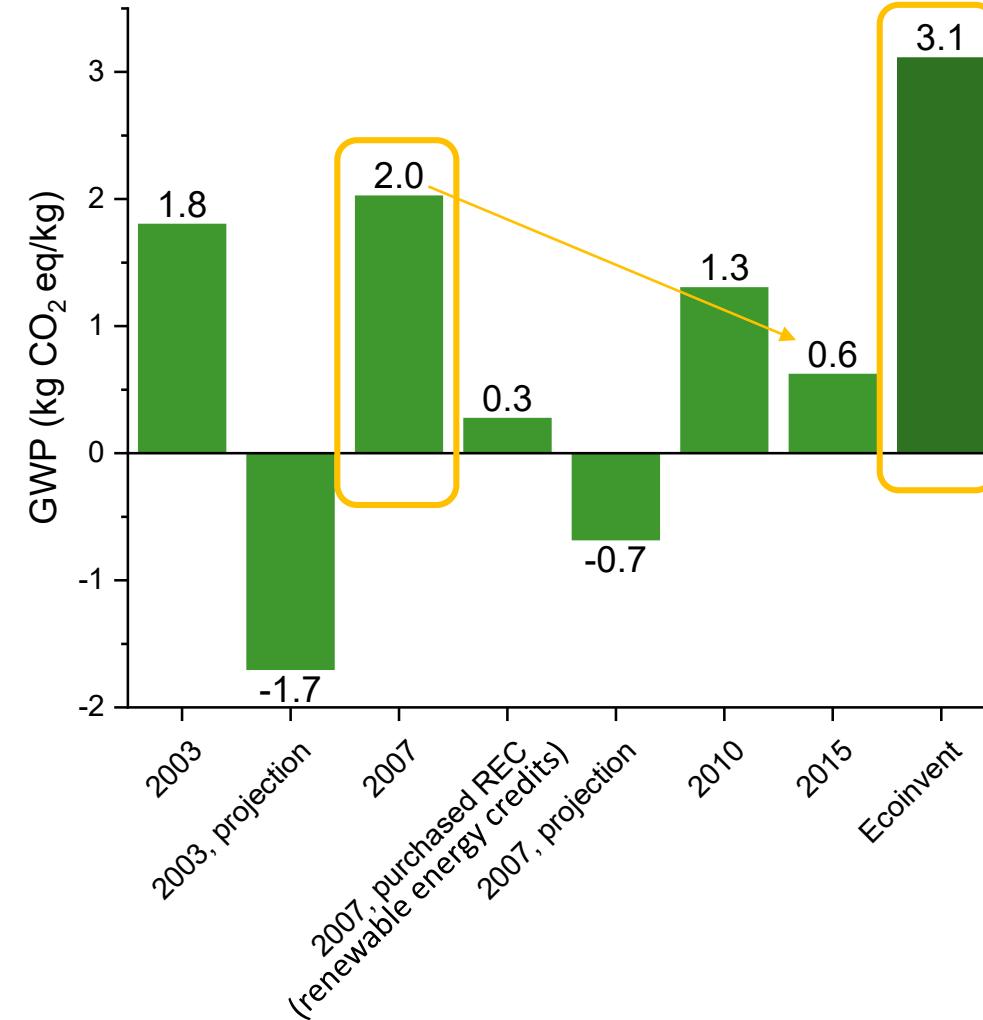
Auf welche Daten stützen sich die Studien?



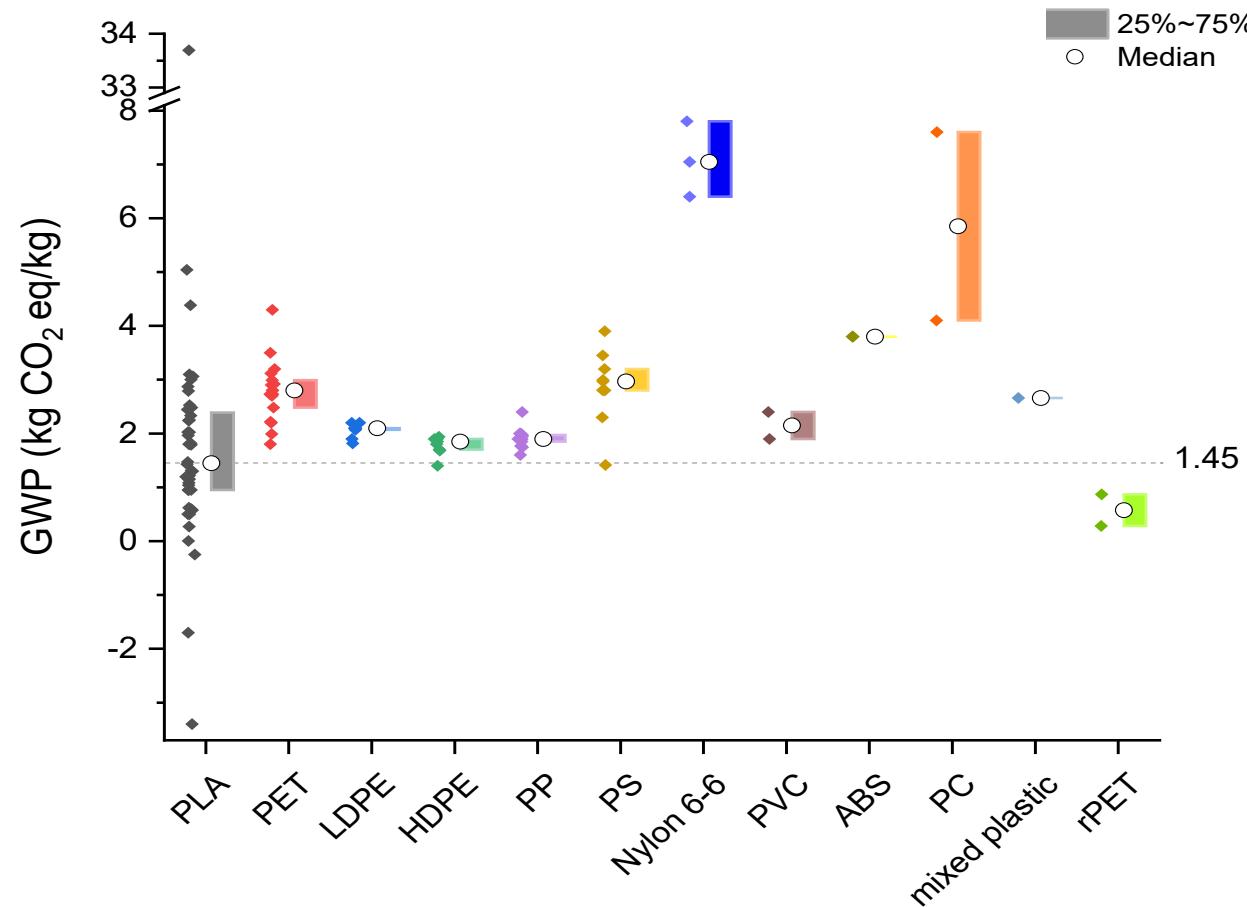
# LCA von einem Hersteller über die Zeit - NatureWorks



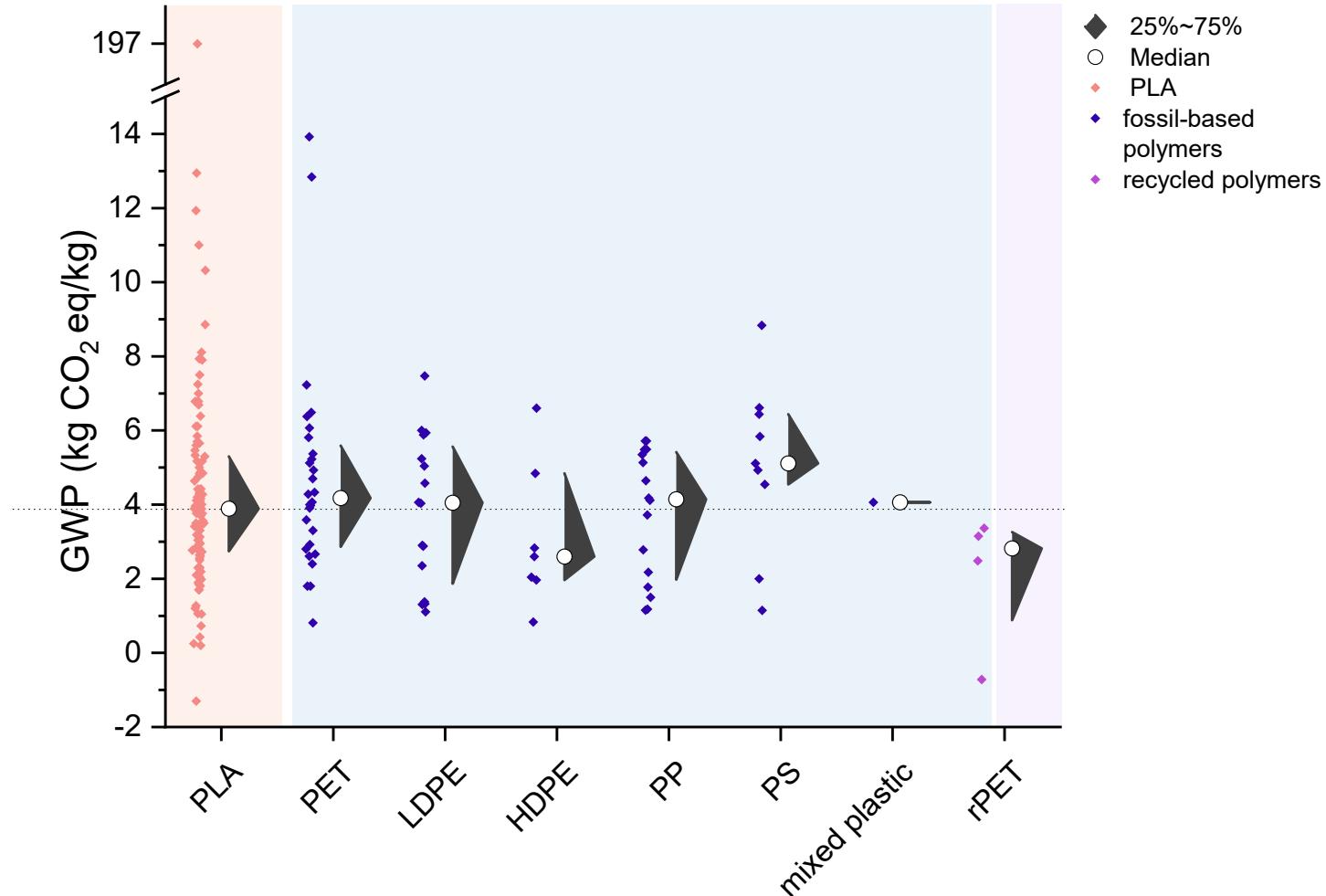
- 4 Studien
- Autor: Vink
- Cradle-to-gate



# LCA «Cradle to Gate»: PLA vs fossil-basierte Polymere



# LCA «Cradle to Grave»: PLA vs fossil-basierte Polymere



# «Klimafreundlich»? Meta-Analyse CO<sub>2</sub>-Fussabdruck für Lignin und Zellulose

RSC  
Sustainability



View Article Online  
View Journal

PAPER

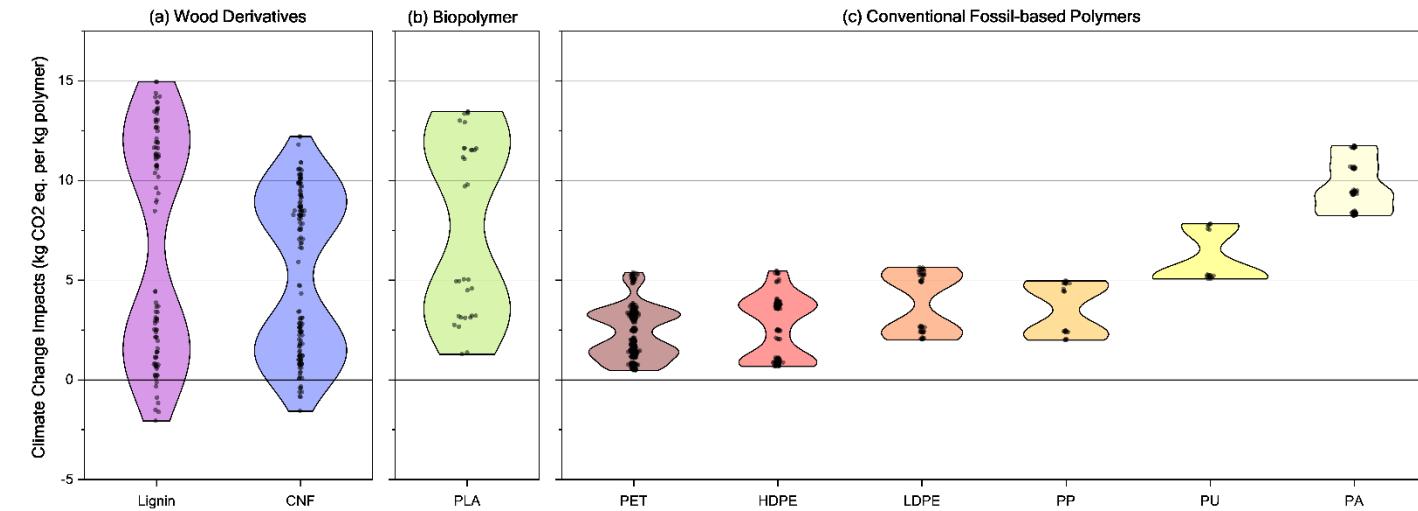


Cite this: DOI: 10.1039/d4su00010b

## Unraveling the climate neutrality of wood derivatives and biopolymers†

Akshat Sudheshwar,<sup>a</sup> Kealie Vogel,<sup>a,b</sup> Gustav Nyström,<sup>b</sup> Nadia Malinverno,<sup>a</sup> Monica Arnaudo,<sup>a</sup> Carlos Enrique Gómez Camacho,<sup>a</sup> Didier Beloin-Saint-Pierre,<sup>a</sup> Roland Hischier<sup>a</sup> and Claudia Som<sup>a,\*</sup>

DOI: 10.1039/d4su00010b



## Vorgehen:

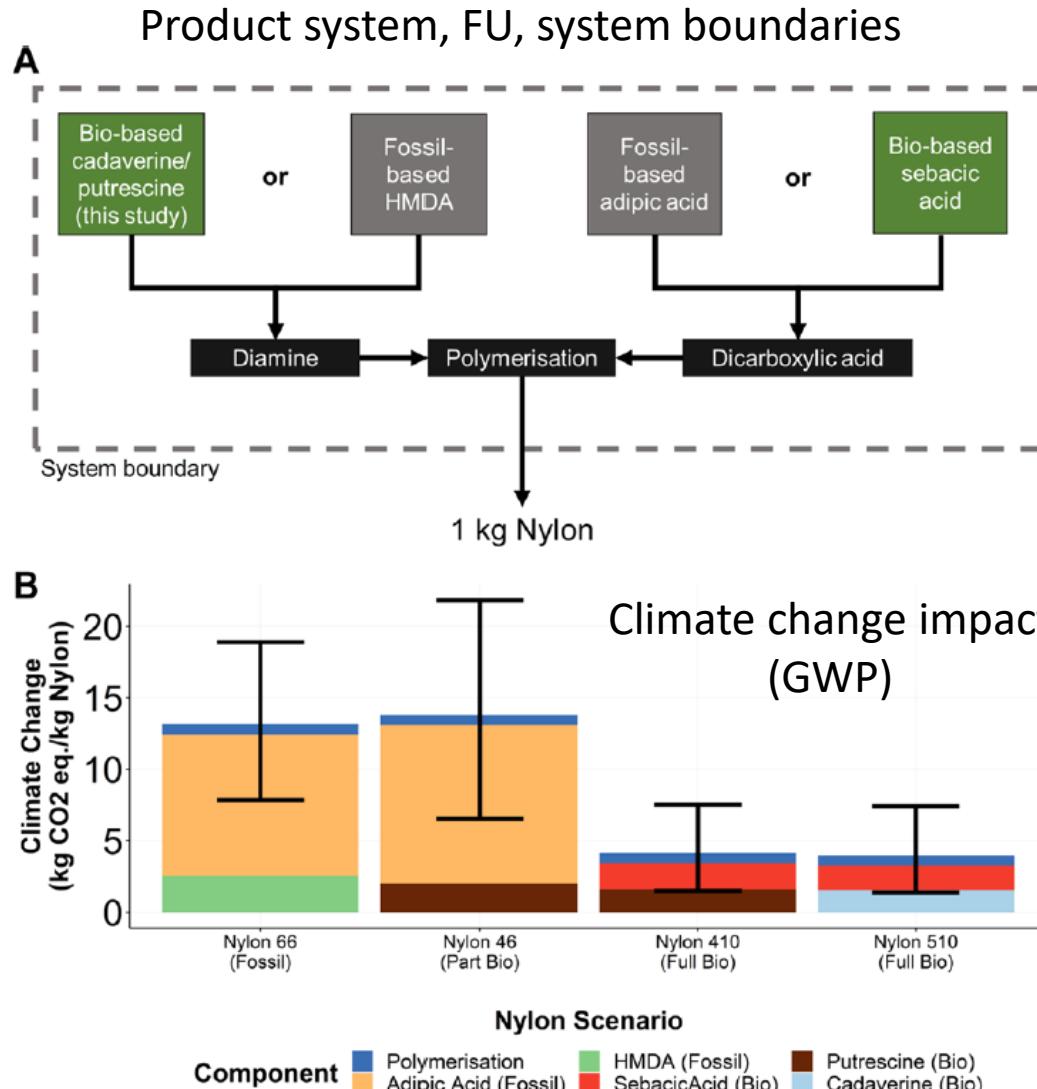
1 . LCA/CO<sub>2</sub>-Fussabdruck (Global Warming Potential, GWP) von Lignin und Cellulose

2. Gruppieren der Literatur in Bereich (Scope):

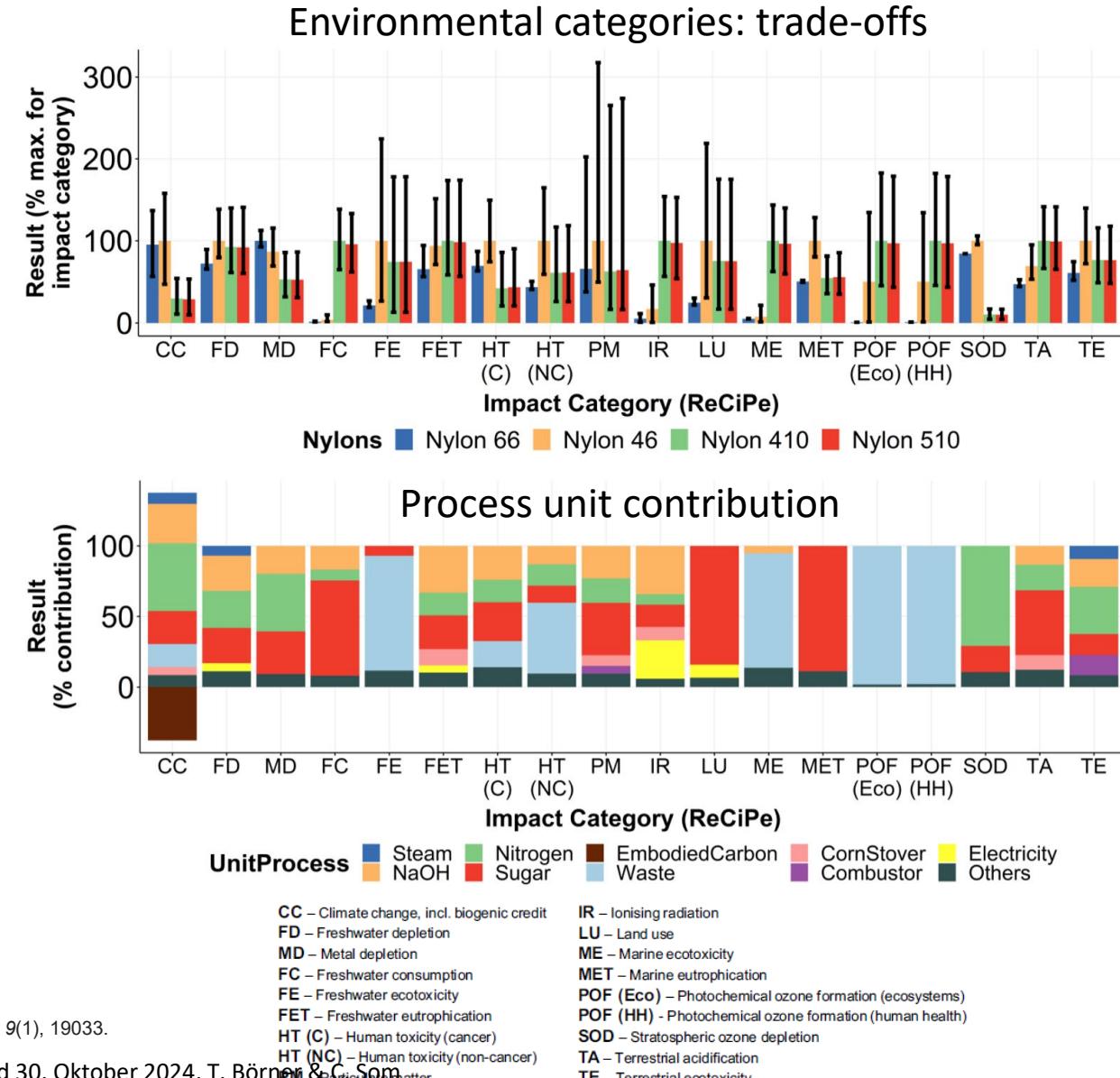
Wiege zum Tor (**cradle-to-gate**)

Wiege zu Bahre (**cradle-to-grave**)

# Biobased vs. fossil-based Nylon (cradle-to-gate)



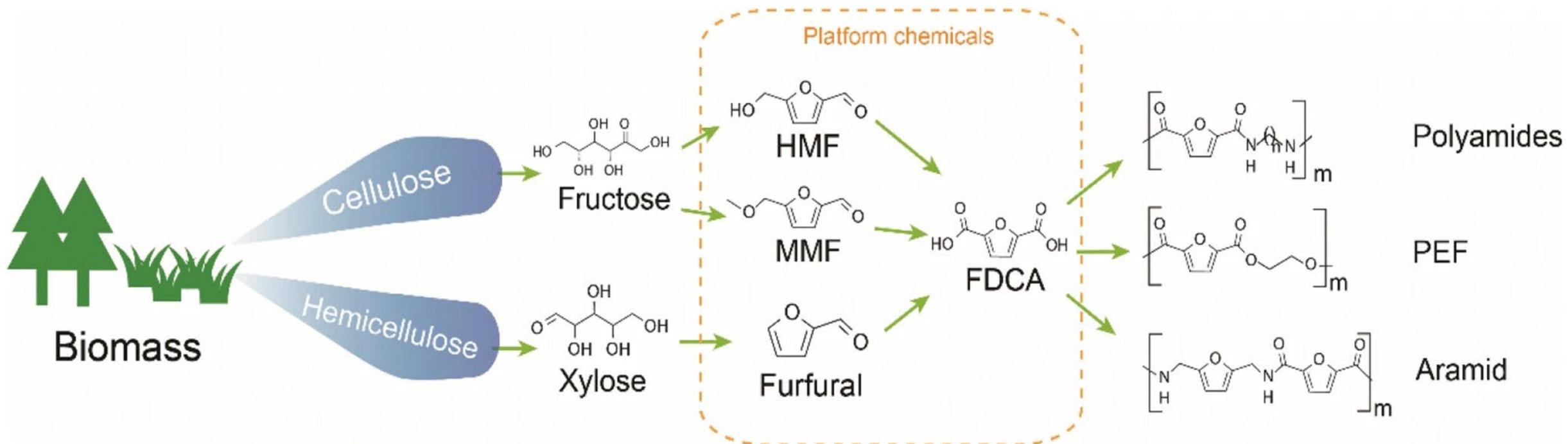
Source: Matthews et al. (2019). Collaborating constructively for sustainable biotechnology. *Scientific Reports*, 9(1), 19033.



# Biobased chemicals for PEF and Polyamides (cradle-to-gate)

FDCA - 2,5-Furandicarboxylic acid

PEF - polyethylene furanoate

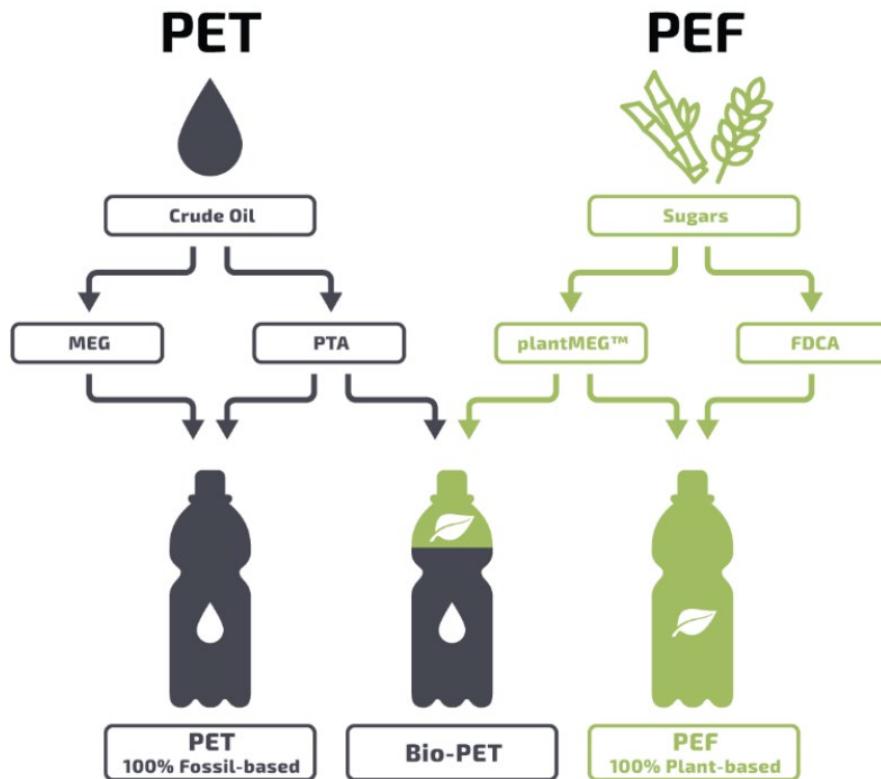


Source: Yuan, H., Liu, H., Du, J., Liu, K., Wang, T., & Liu, L. (2020). *Applied microbiology and biotechnology*, 104(2), 527-543.

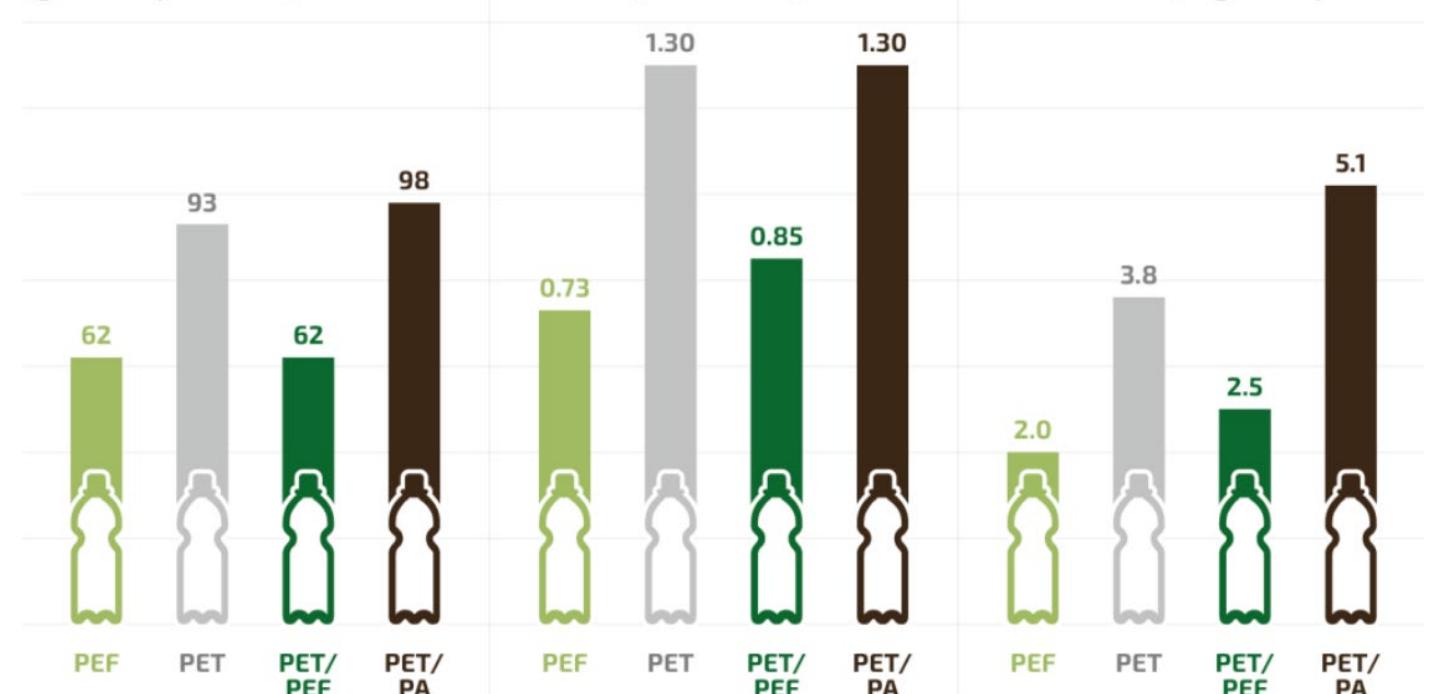
# PET versus biobased PEF (cradle-to-gate)

FDCA - 2,5-Furandicarboxylic acid

PEF - polyethylene furanoate



**Climate Change**  
[g CO<sub>2</sub> eq./Bottle]



Source: <https://avantium.com/wp-content/uploads/2022/02/20220221-PEF-bottles-%E2%80%93-a-sustainable-packaging-material-ISO-certified-LCA.pdf>

## Schlussfolgerungen aus den Meta-Analysen zu PLA, Lignin und Zellulose

- Grosse Variation in den Resultaten
- Jeder Datenpunkt ist einzigartig berechnet
- Erneuerbare Energien scheinen wichtig für einen tieferen CO<sub>2</sub>- Fussabdruck
- Vergleicht man PLA mit fossil-basierten Polymeren sieht man keine relevante Reduktion des CO<sub>2</sub>-Fussabdruckes
- «Carbon Crediting» nicht missbrauchen
- Jedoch: Die Daten für PLA sind veraltet und fossil-basierte Polymere haben seit kurzem einen 30% höheren CO<sub>2</sub>-Fussabdruck (neue Berechnungsmethoden)
- «End of Life» (EoL) Management ist wichtig (extended producer responsibility, recycling)
- «Carbon-tunnel vision» bestraft die Bioabbaubarkeit und Mikro/Makro-plastik Auswirkungen werden (noch) nicht erfasst.

# Carbon Capture & Utilization (CCU) Technologies for CO<sub>2</sub>-based chemicals & polymers

Tim Börner\*, Carlos Gomez, Akshat Sudheshwar, Claudia Som

# Carbon capture, storage & utilization pathways

## Overview

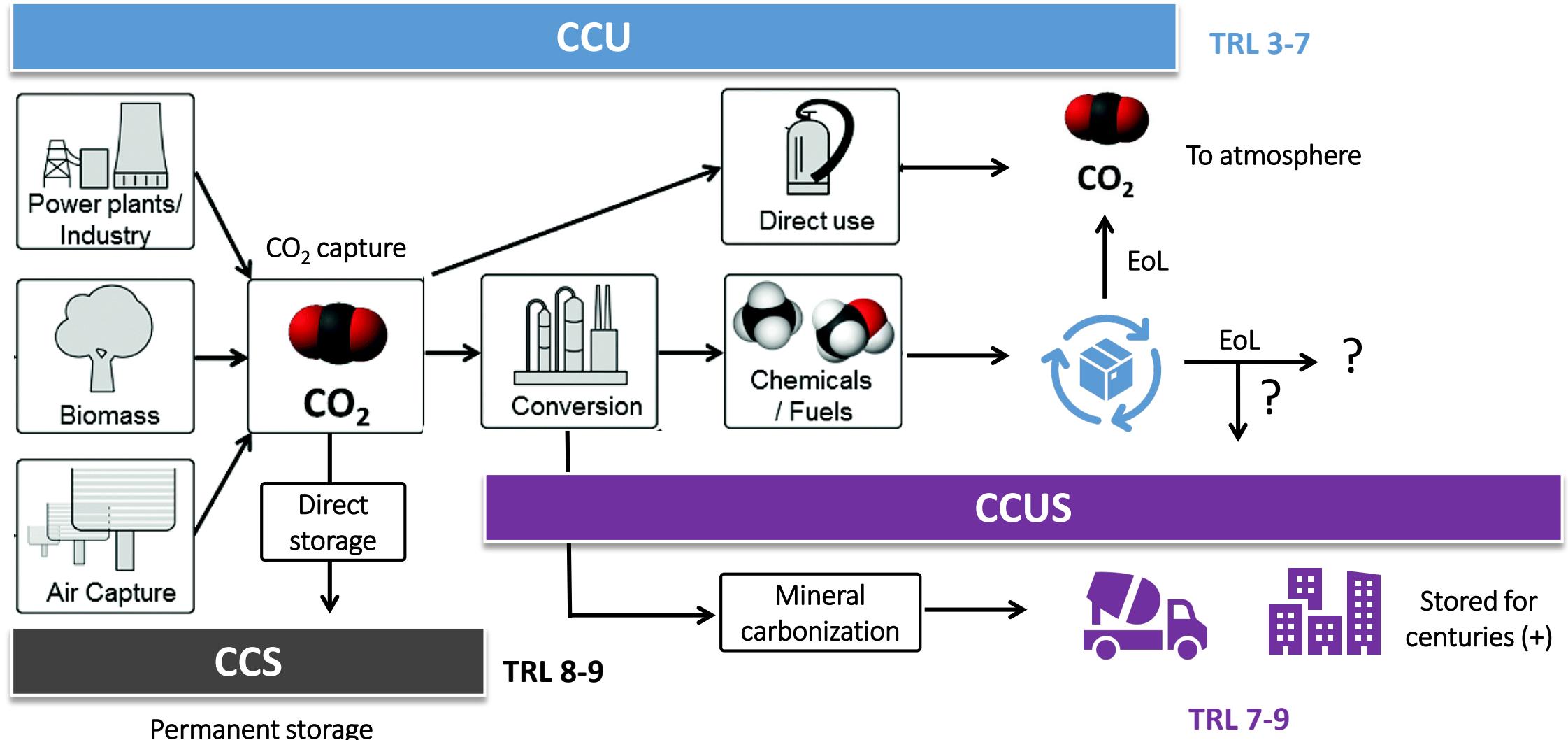
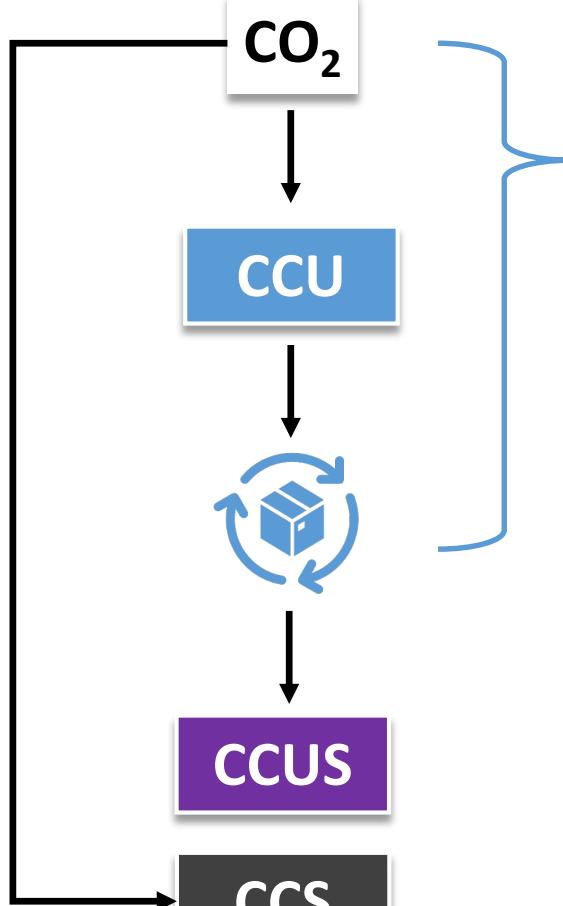


Image modified from Bui et al. (2018). *Energy & Environmental Science*, 11(5), 1062-1176.

# Can “CCU products” be certified as CO2-storage?

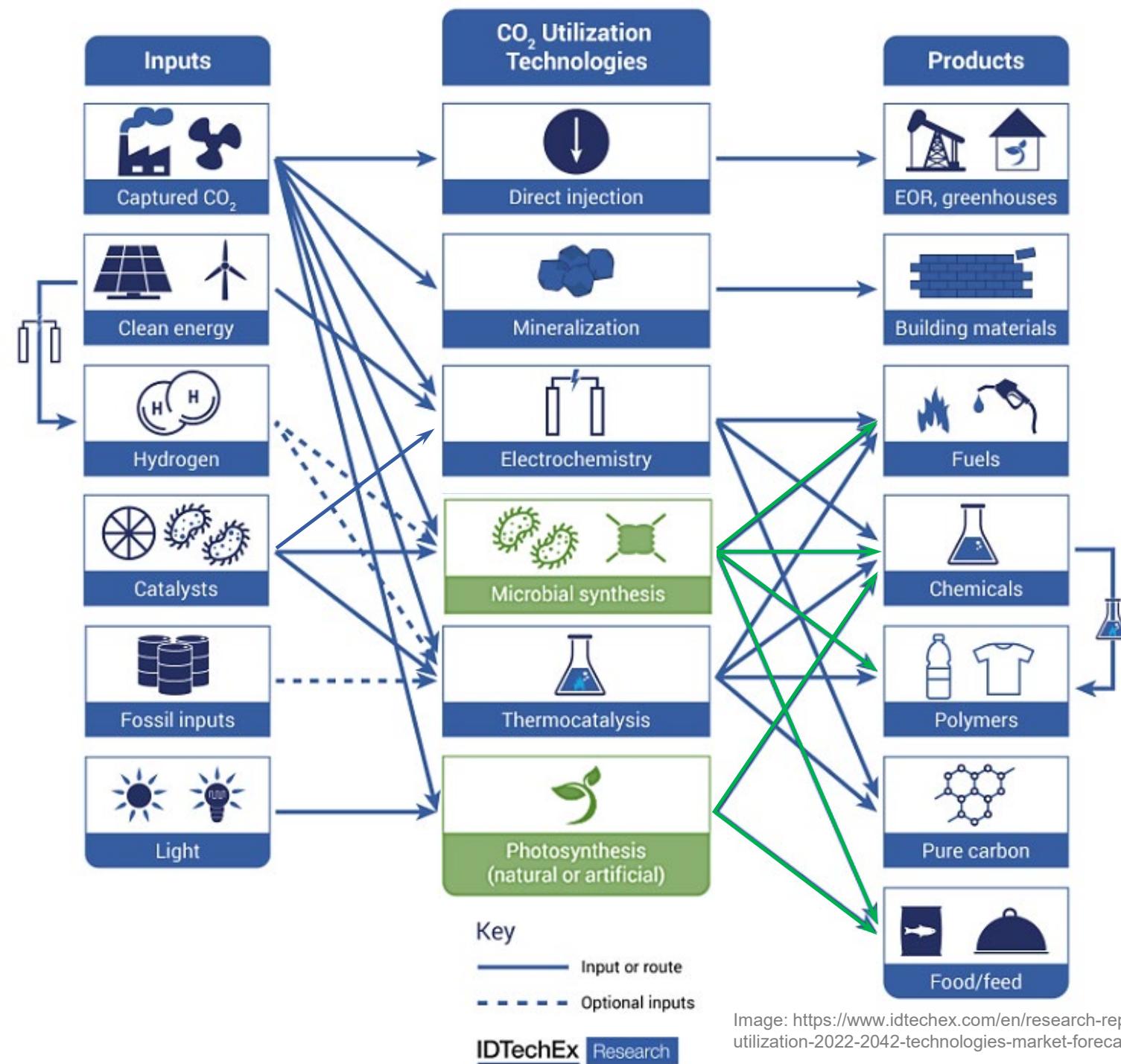
Current regulatory framework



## Commission delegated regulation CCU DA 2024/2620 (July):

- ... GHGs (CO<sub>2</sub>) permanently chemically bound in a product
- ... bound in product at least for a period of several centuries or longer
- ... EOL routes leading to CO<sub>2</sub> release e.g., incineration, are excluded
- ... provide a similar climate benefit as geological storage
- CCU routes/products via mineral carbonization are considered to permanently chemically bind CO<sub>2</sub>: construction products (cement, lime, hydraulic binders, bricks, tiles, etc.)
- Review/update product list to adapt according to technology innovation and development

CCS Directive 2009/31/EC,  
revised guidance document,  
July 2024

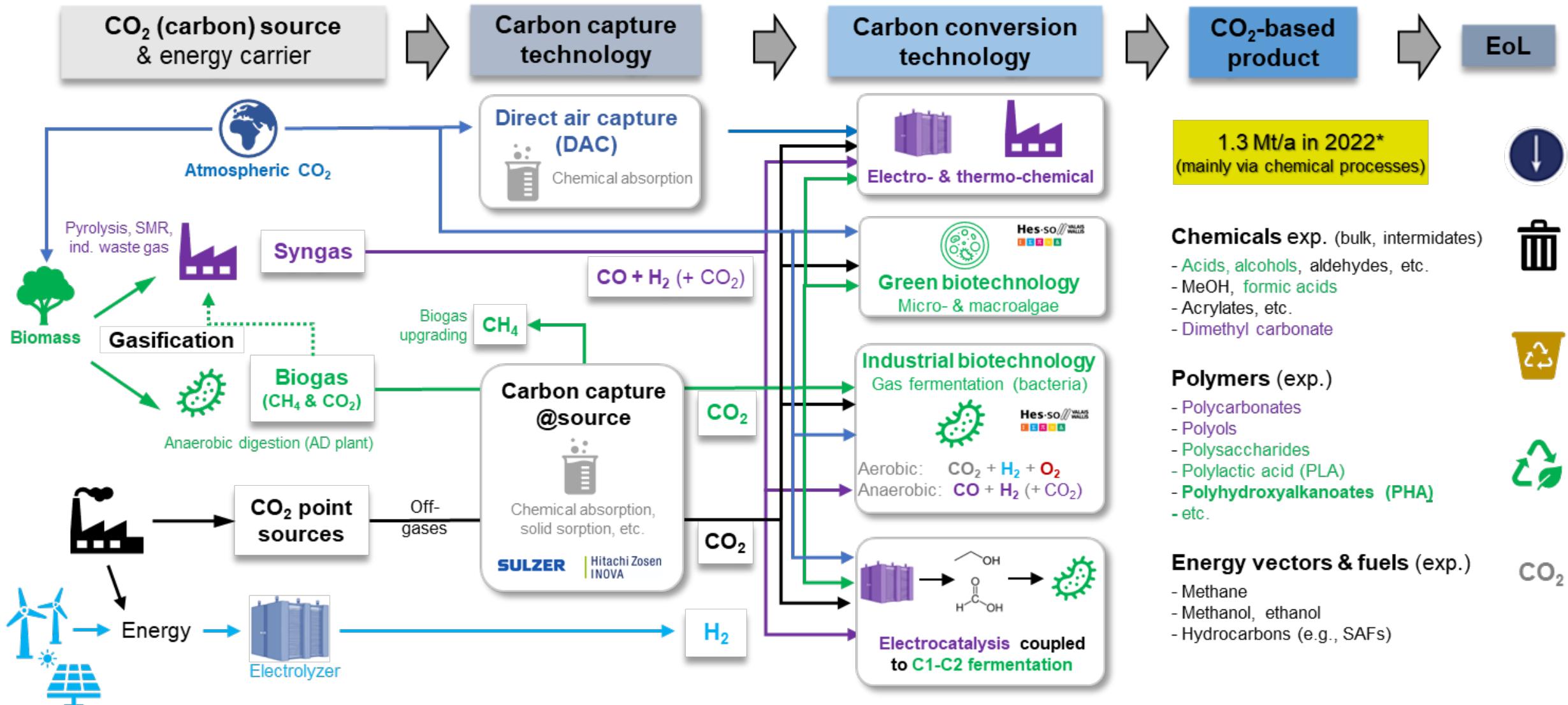


# Carbon capture & utilization (CCU) pathways

Defossilization of the chemical, polymer and energy sector with the help of **Chemistry and Biotechnology**

# Carbon capture & utilization (CCU) pathways

Where biotechnology can support defossilization of the chemical, polymer & energy sector

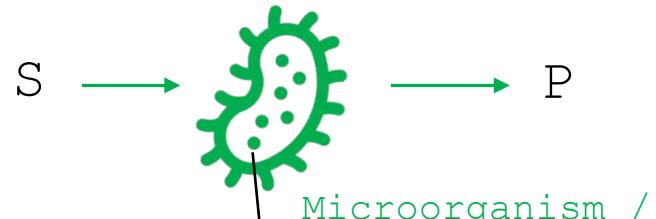


\*Nova Institute, Report: Carbon Dioxide (CO<sub>2</sub>) as Feedstock for Chemicals, Advanced Fuels, Polymers, Proteins and Minerals. April 2023

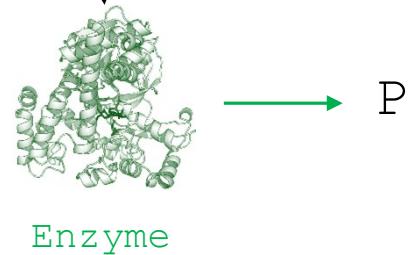
# Advantages of Biotechnologies

## Biocatalysts versus chemical catalysis (and electro-catalysis)

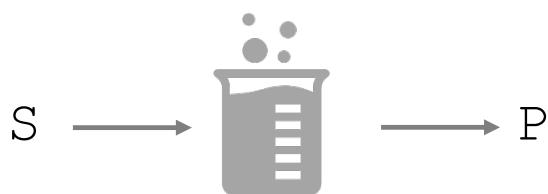
Fermentation  
Whole cell  
biocatalysis



Enzyme catalysis  
(Biocatalysis, cell free)



Chemical  
catalysis



Highly selective / specific for S and P

Effective at low temperatures (20-40 °C, 45-95 °C)

Can catalyze complex reactions / molecules

Renewable biocatalyst

Handle mixed substrates / waste / impurities

Does not need solvents, but can be used



Inhibition for S or P at high S and P concentration, microbial and enzymatic engineering

Typically lower productivity (lower space stability, novel S or P)  
time yields)

Sensitive to organic solvents (alleviated by engineered)

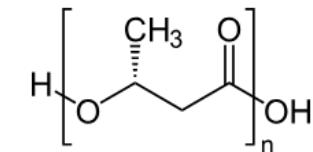
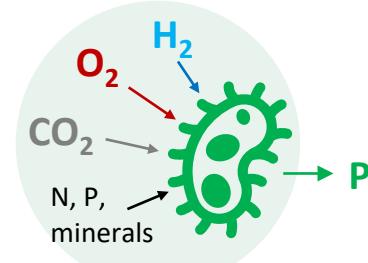
# Gas fermentation

Biotechnological conversion of CO<sub>2</sub> into chemicals and (bio)polymers

Two gas fermentation technologies

Platform chemicals and polymers as products  
(examples)

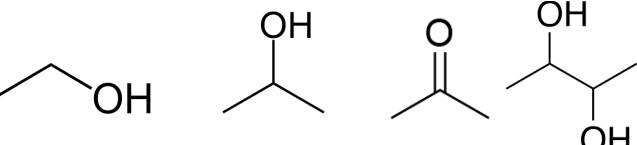
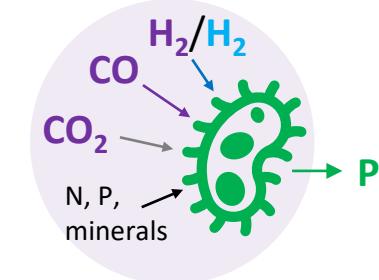
Knallgas fermentation  
(aerobic)



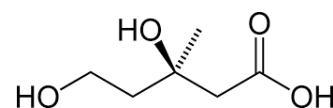
Polymers, e.g. PHB



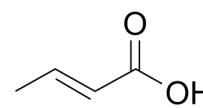
Syngas fermentation  
(anaerobic)



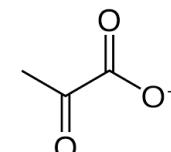
Alcohols, ketones, aldehydes



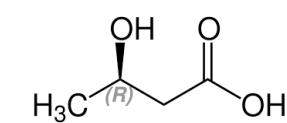
Mevalonic acid



Crotonic acid



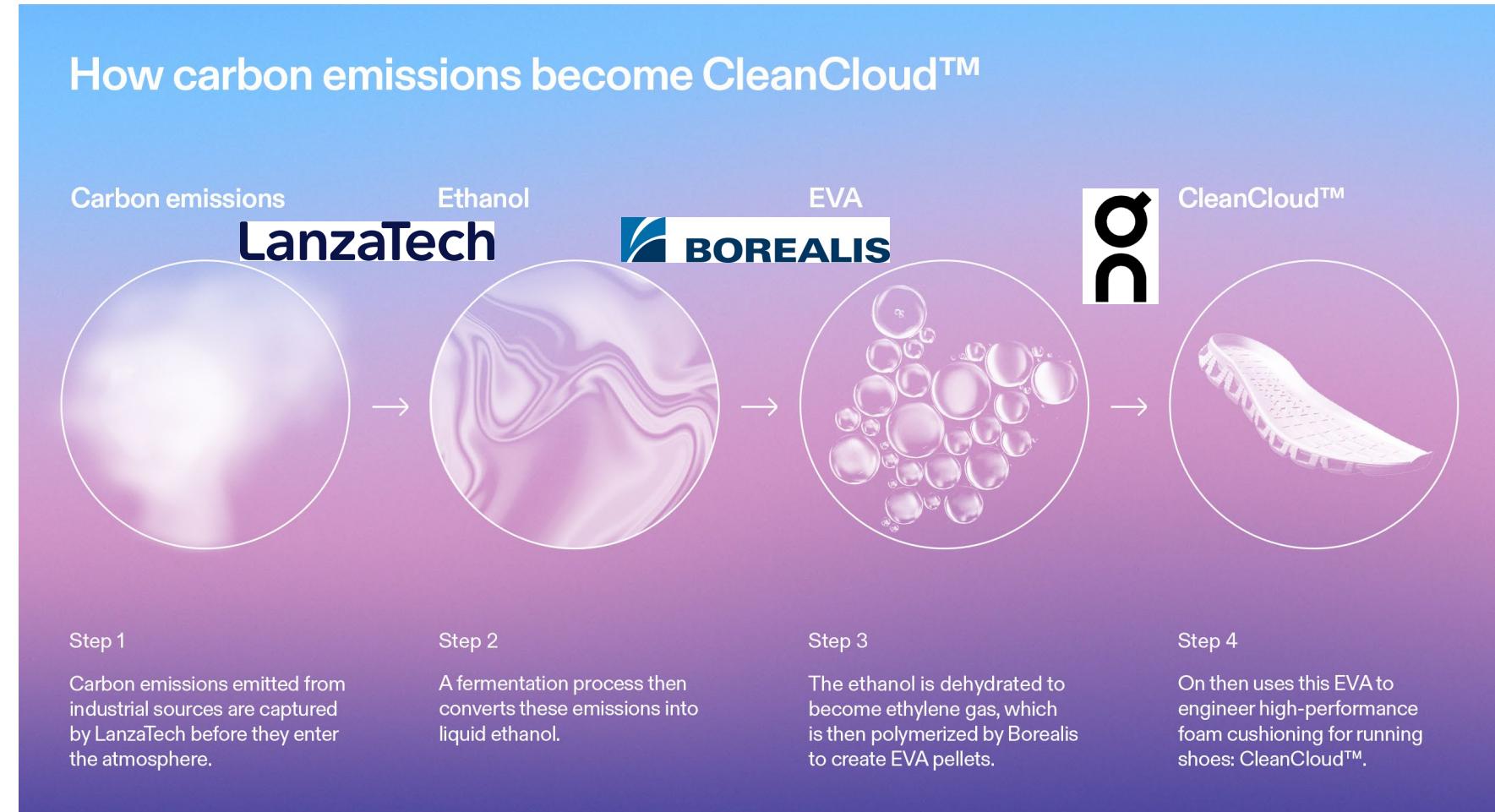
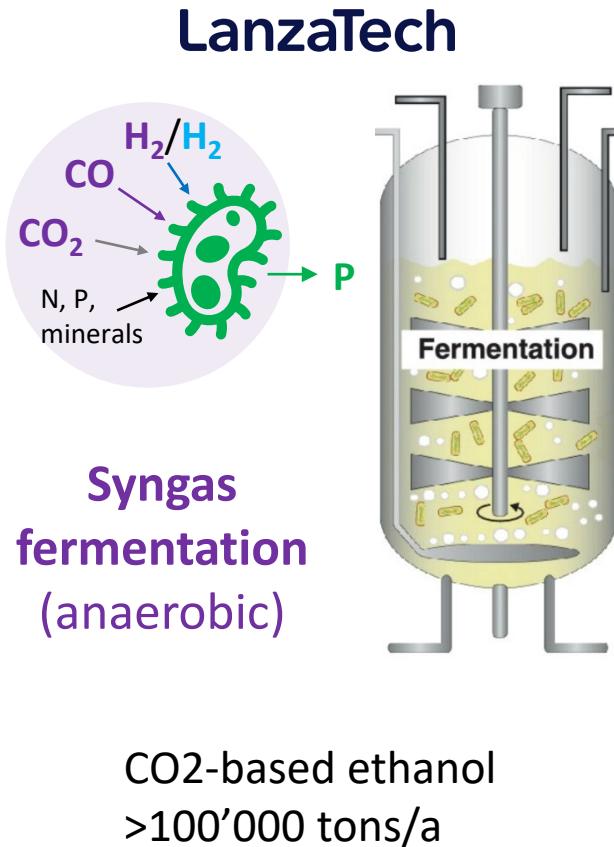
Pyruvate



3-hydroxybutyrate

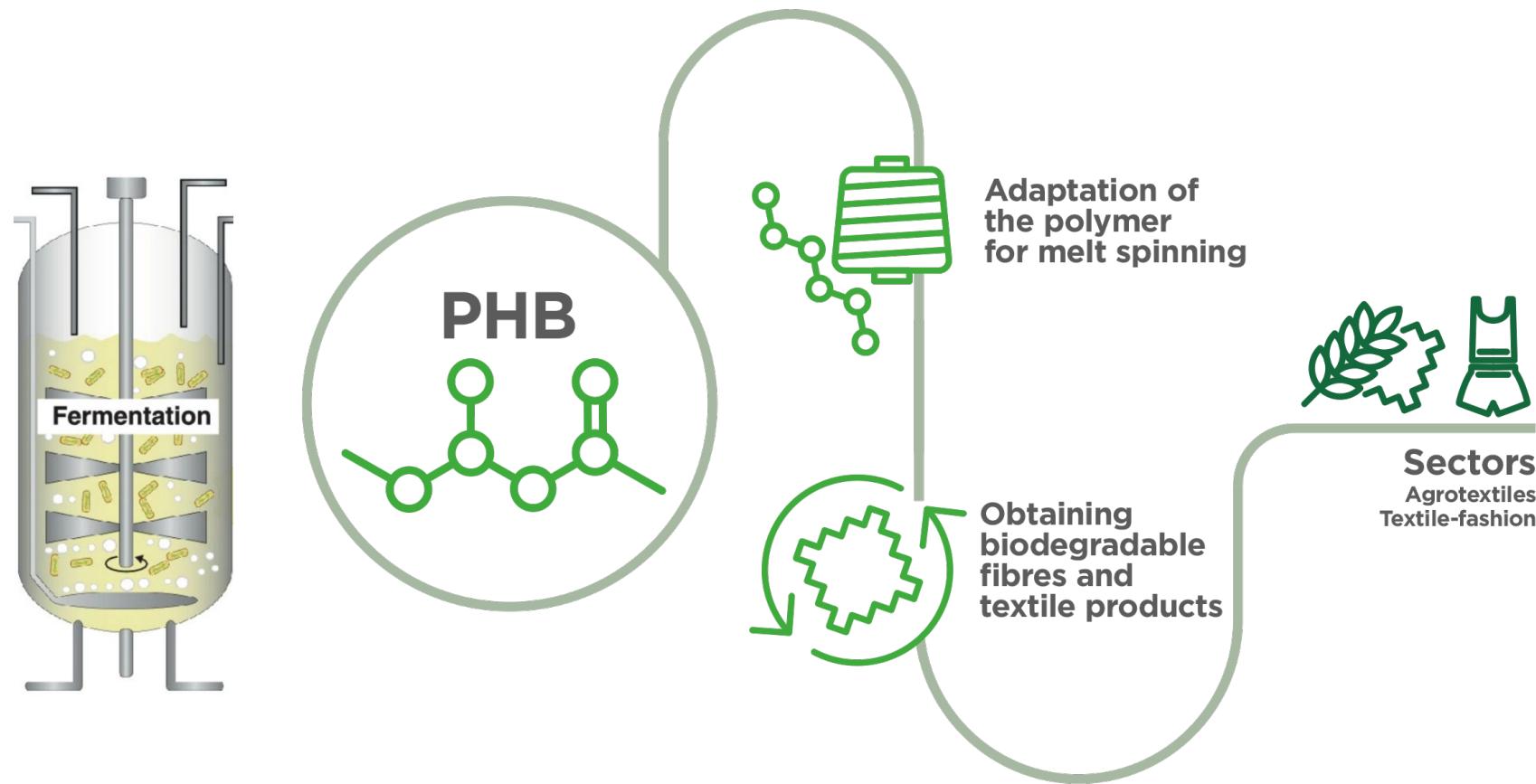
# Ethylenvinyl acetate (EVA) from CO<sub>2</sub>

Biotechnology as CCU enabler coupled to chemical synthesis



# Biopolyester (PHB) from CO<sub>2</sub> for textiles

Polyhydroxybutyrate (PHB) via Bio-CCU



<https://www.aitex.es/portfolio/phbtex-textile-production-from-biopolymers/?lang=en>

# Innovation project: BIO-PHAME

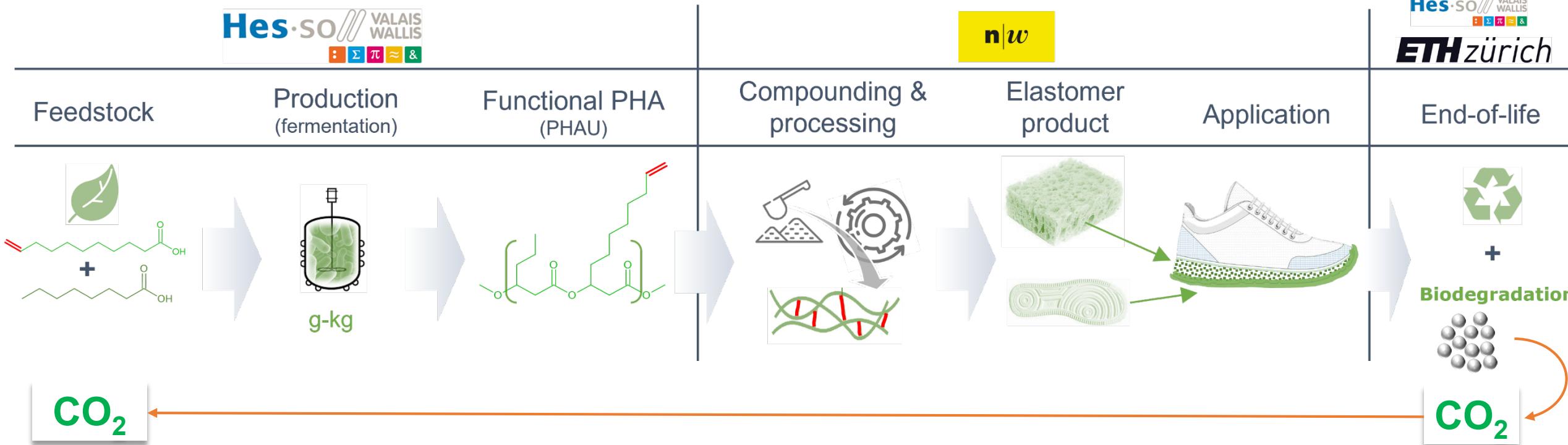
Functionalized PHA as life-cycle engineered elastomers



Innosuisse

Hes·so// VALAIS  
WALLIS  
 $\Sigma \pi \approx &$

Empa  
Materials Science and Technology

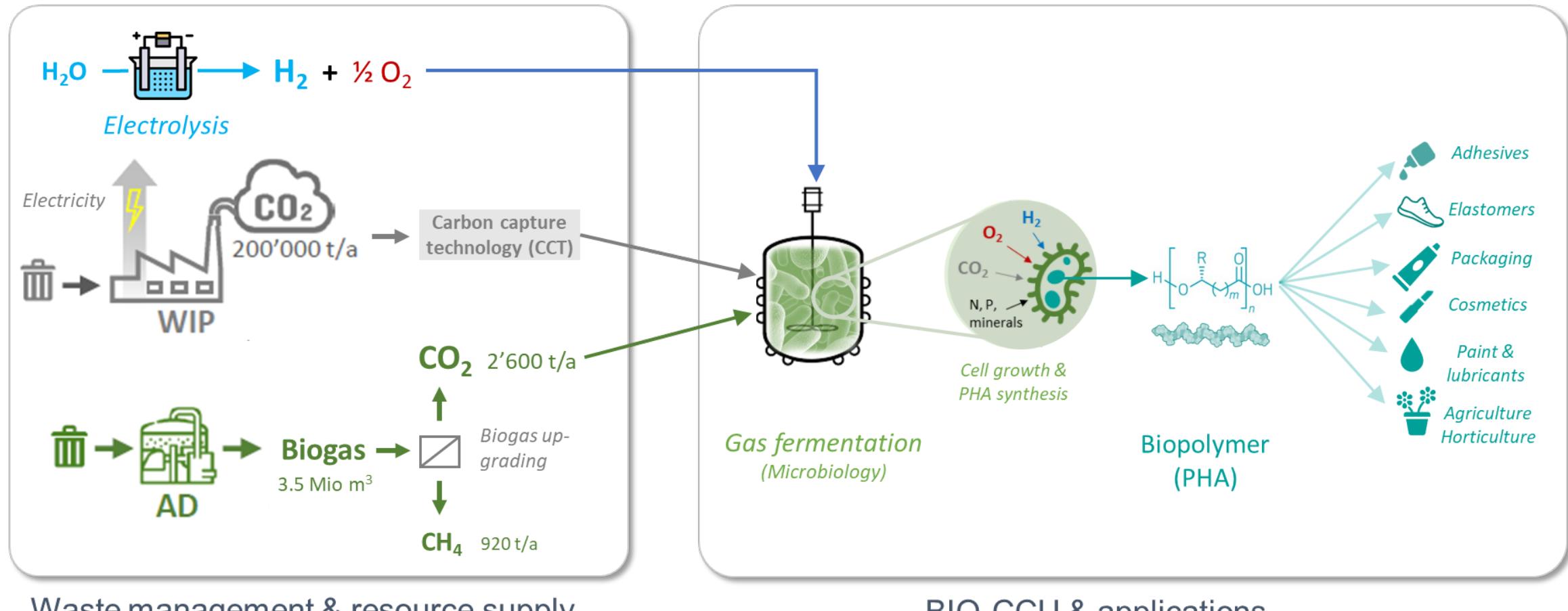


**Using  $CO_2$  as feedstock (CCU)**

- Carbon cycling
- Temporary storage of  $CO_2$  in the recycled product

# Electro-microbial process for CO<sub>2</sub>–based biopolyester

## Production of polyhydroxyalkanoates (PHAs)



Waste management & resource supply

BIO-CCU & applications

# Summary BIO-CCU

- Bio-CCU brings added-value:
  - CDR - carbon dioxide removal (CDR) technology for point sources and DAC
  - Production of (complex) platform chemicals and (bio)polymers
  - Hybrid systems of electro-chemical and biology for best performance
- Microorganisms: renewable, more robust than chemical catalysts (i.e., gas impurities)
- Further technology and infrastructure development needed across the value-chain
- All routes have carbon reduction potential (CDR) but also trade-offs
- Apply sustainability assessment (LCA) to identify best pathways

# Unsere Schlussfolgerungen

- Biomasse keine unendliche Ressource
- Jeder Sektor sieht nur sich, keine Biomasse Strategie
- Recycling ist gut, aber Logistik, Energieverbrauch & Materialverlust
- Es reicht nicht auf bio-basierte Materialien umzusteigen oder zu rezyklieren
- Es muss ein breites Portfolio von Technologien entwickelt werden, um CO<sub>2</sub> zu verwerten - «Carbon Capture and Use (CCU)»
- Geschäftsmodelle und Konsum müssen neu gedacht werden: Weg von Verkauf von Masse hin zu Qualität/Langlebigkeit und zu neuen Dienstleistungen.

# Diskussion

- Auf was achten Sie beim Einkauf?
- Wenden Sie diese Materialien schon an? Erfahrungen?
- Wie schätzen Sie die Rolle der CO<sub>2</sub>- und biobasierten Polymere in Zukunft ein?